

The copyright of this thesis vests in the author. No quotation from it or information derived from it is to be published without full acknowledgement of the source. The thesis is to be used for private study or non-commercial research purposes only.

Published by the University of Cape Town (UCT) in terms of the non-exclusive license granted to UCT by the author.

Development of a Parallel SAR Processor on a Beowulf Cluster

Thomas Gerald Hart Bennett

A dissertation submitted to the Department of Electrical
Engineering,
University of Cape Town, in fulfilment of the requirements
for the degree of Master of Science in Engineering.

Cape Town, December 2003

Declaration

I declare that this dissertation is my own, unaided work. It is being submitted for the degree of Master of Science in Engineering in the University of Cape Town. It has not been submitted before for any degree or examination in any other university.

Signature of Author

Cape Town
February 2003

University of Cape Town

Abstract

The purpose of this dissertation is to present the development and testing of the parallelisation of a Range-Doppler SAR processor. The inherent data parallelism found in SAR data lead to the choice of using master slave parallel processor, where copies of a slave task perform the same tasks on different sets of data. However, the SAR processor that was parallelised needed to implement a corner turn without saving data to disk keeping the data set being processed distributed in memory over the nodes in the cluster. This was successfully achieved using a in-place method, thus saving valuable memory resources. Once the parallel processor was implemented some timing tests where performed, yeilding a maximum speedup factor of 6.2 for an 8 slave processor system.

Dedication

This thesis is dedicated to all those who encouraged me to finish it.

University of Cape Town

Acknowledgements

This thesis would not have been possible without the financial support from the CSIR DefenceTek who funded the second year of my Masters Program. I would also like to thank Prof. Mike Inggs, Dr. Jasper Horrel, Mark Gerbhard and Dr. Richard Lord for their assistance and guidance.

University of Cape Town

Contents

Declaration	i
Abstract	ii
Acknowledgements	iv
Nomenclature	x
1 Introduction	1
1.1 Background to SAR	1
1.2 Background to Beowulf Clusters	2
1.3 SASAR background[14]	3
1.4 Objectives	3
1.5 Plan of Development	4
2 Principals of SAR	5
2.1 Introduction	5
2.2 Geometry and Terminology for Strip-Mapped SAR	5
2.3 Range Processing	6
2.3.1 Pulse Compression	7
2.3.2 Motion Compensation	8
2.3.3 Interference Suppression	9
2.4 Azimuth Processing	10
2.4.1 Azimuth Processing Algorithm	11

2.4.2	Range Curvature Correction	11
3	Principles of Parallel Computing	12
3.1	Introduction	12
3.2	Taxonomy of Parallel Computers	12
3.3	Messaging Middle-ware	15
3.3.1	Message Passing Implementations	15
3.3.2	Parallel Virtual Machine	15
3.4	Parallel Processing Theory	17
3.4.1	Amdahl's Law	17
3.4.2	Processor Speedup Factor	17
4	Parallel Processor Implementation	19
4.1	Introduction	19
4.2	Processor Source Code	20
4.3	Implementation of the Master Process	20
4.3.1	Initialisation Phase	20
4.3.2	Starting up the Slave Processes	23
4.3.3	Scatter the Raw Data to the Slave Processes	24
4.3.4	Receiving Processed Data from Slave Processes	24
4.4	Implementation of the Slave Process	25
4.4.1	Initialisation Phase	25
4.4.2	Range Compression Implementation	26
4.4.3	Corner Turn Implementation	26
4.4.4	Azimuth Compression Implementation	26
4.5	Corner Turn Implementation	27
4.5.1	In-place Matrix Transpose	29
4.5.2	Message Passing Phase Implementation	29
4.6	Conclusion	30

5	Analysis and Results	32
5.1	Data Set	32
5.2	Comparison of Serial to Parallel Version	32
5.2.1	Performance Comparison	32
5.2.2	Image Output Comparison	33
5.3	Timing Output Analysis	33
5.4	Tracking of Memory Usage	35
5.5	Conclusion	36
6	Conclusions and Future Work	37
A	Setup file template	38
	Bibliography	44

List of Figures

2.1	SAR Geometry	6
3.1	CISE Taxonomy (taken from CISE web page)	13
4.1	G2 parallel processor program flow	21
4.2	Program Data Flow	22
4.3	Distributed Matrix Transpose	28

University of Cape Town

List of Tables

2.1	Notch Filter Parameters	10
2.2	LMS Filter Parameters	10
3.1	Comparison of Message Passing Implementations[9]	15
4.1	Example of parameters sent in multi-cast message	24
4.2	Setup values for Corner Turn Configuration	30
5.1	Critical Processor Parameters	32
5.2	Processor Comparison	33
5.3	Changing number of slave processes with constant image size size	35
5.4	Changing image size, using constant number of slaves processes	35

Nomenclature

Azimuth — Angle in a horizontal plane, relative to a fixed reference, usually north or the longitudinal reference axis of the aircraft or satellite.

Beamwidth — The angular width of a slice through the mainlobe of the radiation pattern of an antenna in the horizontal, vertical or other plane.

Cluster of Workstations (COW) — Workstations that are connected together via a hub or a switch.

Doppler frequency — A shift in the radio frequency of the return from a target or other object as a result of the object's radial motion relative to the radar.

Distributed Corner Turn — Corner turn implementation done using multiple compute nodes.

Message Middleware — A common communications interface between software applications in a generic parallel system.

Message Passing Implementation (MPI) — Messaging middle-ware implementation.

Range — The radial distance from a radar to a target.

Real Aperture Radar (RAR) — Standard method for side looking airborne radar, where the azimuth resolution is limited by the physical length of the radar aperture.

Synthetic Aperture Radar (SAR) — A signal-processing technique for improving the azimuth resolution beyond the beamwidth of the physical antenna actually used in the radar system. This is done by synthesizing the equivalent of a very long sidelooking array antenna.

Swath — The area on earth covered by the antenna signal.

Parallel Virtual Machine (PVM) — Messaging middle-ware implementation.

Chapter 1

Introduction

1.1 Background to SAR

Synthetic aperture radar (SAR) ¹ is a microwave technique, capable of producing high-resolution images by synthesising a the equivalent of a long side-looking array antenna. A fully processed SAR image is a photograph-like representation of the band-limited microwave reflectivity of the Earth's surface.

SAR has become an invaluable remote sensing technique, due to its advantage over optical and infrared imaging techniques. This is because SAR is an active microwave technique, and therefore not dependent on illumination from the sun or radiation from Earth. Since SAR uses electro-magnetic waves having a lower frequency than light, they can penetrate cloud cover and see through rain with a limited attenuation to the received signal, thus allowing day/night all-weather imaging.

Given the above reasons, SAR has been implemented for many commercial as well as military applications. Commercial applications include topographic mapping, mining, geology[18], time difference images that can be used in vegetation discrimination, rate of urbanisation and oil pollution monitoring[13]. In the military sphere, SAR can be used for tactical reconnaissance, which has the advantage at *Very High Frequency* (VHF) band of locating targets hidden under foliage due to the long wave length of the transmitted pulse[10].

SAR is, however, characterised by high data rates and requires comprehensive computations to process the raw data into a focused image. Since its inception in 1967[4], there has always been a need for faster processing speeds to the point where the SAR processing can be done in real time. Many contemporary solutions involve parallel processing, which improves the throughput by taking advantage of the inherent parallel nature of SAR processing.

¹For a thorough overview of SAR the reader is referred to [4].

Parallel processing is a dynamic field, and the next section introduces the background to parallel processing and the type of parallel processor used in this dissertation.

1.2 Background to Beowulf Clusters

Parallel processing is an umbrella term used to describe a set of processors working together to find the solution to a given problem. Parallel processors appear in many forms, and the hardware ranges from traditional massively parallel processors to a peer-to-peer connection over the Internet.

The use of massively parallel processors such as the Cray T3 and the Intel Big Blue has been limited to projects with big budgets, as they are extremely expensive machines to purchase and maintain. Once the purchased the user is also linked into proprietary software written for a specific machine and is therefore reliant on the manufacturer for software upgrades and hardware improvements.

However, in recent years, the increasing processing power of modern desktop PC's and the decrease in cost of off-the-shelf networking technologies has made the idea of a cluster of workstations a viable approach for running parallel applications on scalable and versatile parallel processors. Coupled with the use of open source software these technologies lead to the most famous fast cluster of workstations being constructed in 1994 at the NASA Goddard Centre [1]. This cluster consisted of 16 DX4 processors connected by channel-bonded Ethernet and was called *Beowulf*. Inspired by this, all other parallel processors of a similar anatomy have since been called *Beowulf clusters*. Although originally only consisting of a small number of nodes, as in the Goddard Cluster, there are now Beowulf clusters that consist of hundreds of nodes.

The *Radar Remote Sensing Groups* (RRSG) Beowulf Cluster is called *Gollach* [[11]], and was used as a hardware benchmark for this dissertation. This system is designed for the development and testing of parallel implementations. The current system is configured to run code developed using *Parallel Virtual Machine* (PVM) and *Message Passing Interface* (MPI). The system also runs Mosix, a Linux kernel module that enables the transparent migration of tasks. The cluster hardware specifications are:

- 1 Dual-CPU Intel Pentium II, 350 MHz machine, 512 M Bytes RAM;
- 7 Single-CPU Intel Pentium II 350 MHz machines, 256 M Bytes RAM;
- a CISCO CS2912 12-port Fast Ether-Net Switch

The next section gives a brief overview of the RRSG's SAR processor and the RADAR hardware used to collect raw data for processing.

1.3 SASAR background[14]

The *South African Synthetic Aperture Radar* (SASAR) is an airborne SAR system developed by the RRSG at UCT and the Defencetek division of the *Council for Scientific and Industrial Research* (CSIR - Defencetek). Although originally designed to be installed on a Boeing 707 aircraft, the experimental radar was installed on a South African Air-force DC3 (Dakota) aircraft. Work began on the system in 1993, but due to budget cuts, the system first flew in January of 1999. Since then a series of flights have been performed, the last being in January 2000.

The SASAR system operates in the VHF band at 141 MHz and has a 12 MHz bandwidth. The antenna consists of four inclined monopoles mounted on the right, rear side of the fuselage. A pulse expander has been developed to increasing the on-target energy and is capable of transmitting pulses of up to 15 μs in length.

The signal processing for SASAR data is performed with the G2 post-processor developed by Horrel [12], which uses a range-Doppler approach to focus the data. The next section covers the objectives for this dissertation.

1.4 Objectives

The objective of this dissertation is to implement and test a parallel version of the G2 processor using a Beowulf Cluster. This dissertation is based on an implementation of independent work done at the University of Cape Town.

The original code for the G2 processor was written in C, and the parallel version is an adaptation of the existing C code. The parallel processor must take advantage of the inherent parallelism of SAR processing, so that the data can be split into sections and processed independently. The processor must also implement a corner turn, which must keep all the data in fast access RAM memory distributed across the processors.

Once the G2 processor has been mapped to a parallel version, it has to be shown that the parallel processor successfully focus SAR images. Finally the processor needs to be analysed in terms of parallel processor performance metrics.

1.5 Plan of Development

The remainder of this dissertation is structured in the following manner:

Chapter 2 covers the fundamentals of SAR processing and relevant mathematical equations. This chapter also introduces relevant theory for specific aspects a SAR data processor. These aspects include airborne SAR geometry, motion compensation and interference suppression.

Chapter 3 covers parallel processing fundamentals. A taxonomy for parallel processors is given. A discussion on messaging middle-ware is then given with an overview of Parallel Virtual Machine. Performance metrics such as processor speed-up, memory use and a comparison between the parallel and serial version that will be used to asses the parallel processors are also discussed.

Chapter 4 describes the implementation of the design theory to map the SAR processing to a parallel version. A description of the master and slave processes is given. One of the aspect of this chapter is the implementation of the distributed matrix corner turn.

Chapter 5 displays the results and analysis of the parallel processor. Firstly the parallel processor is compared with the current serial processor. Then the output of the processor is analysed, and some timing results are given.

Chapter 6 gives the conclusions and recommendations are made for future work on the parallel processor.

Appendix A is the template file of the process configuration file.

Chapter 2

Principals of SAR

2.1 Introduction

This section covers some of the basic theory needed to understand an airborne range-Doppler VHF SAR processor and more specifically SASAR the SAR platform that was used to record actual data, and the G2 parallel processor.

A critical issue in SAR imaging is resolution, and in the sections that follow it is shown how high range resolution can be achieved by conditioning the data and using by coded pulses. High azimuth resolution can also be achieved by synthesising a long antenna with a relatively small antenna structure. Firstly, a brief description of the geometry and terminology is needed.

2.2 Geometry and Terminology for Strip-Mapped SAR

The oldest mode of SAR operation, is strip-mapped SAR, it is still the most widely used to date and best describes the processor implementation used in this dissertation. Figure 2.1 shows a diagram of the geometry for strip-mapped SAR. From this figure it can be seen that the antenna array is fixed into position on the fuselage of the aircraft, mounted at an elevated *look angle*. The antenna emits pulses at a constant *Pulse Repetition Interval* (PRI), which illuminate the ground and is scattered in all directions, including the direction of the antenna. The radar *footprint* represents the intersection of a transmitted electro-magnetic pulse with the Earth's surface. The range extent of the *footprint* is known as the *ground swath*. The width of the footprint is determined by the *azimuth beam width* of the antenna at a given range.

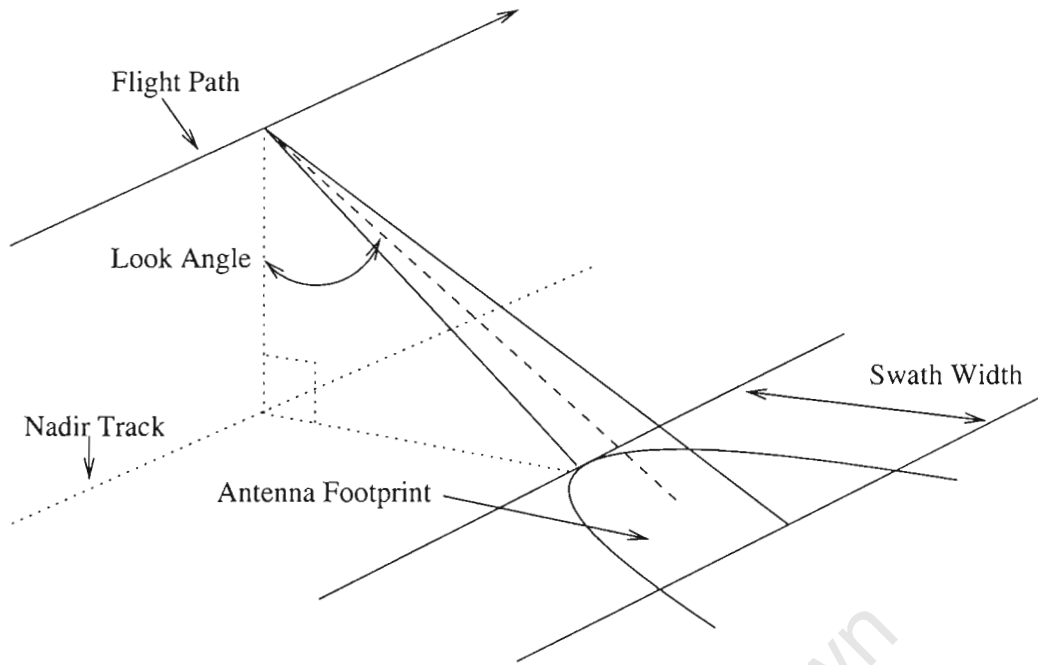


Figure 2.1: SAR Geometry

Many pulses are transmitted in the time it takes the aircraft to travel the width of the footprint, so that each target in the ground swath is illuminated many times over as the radar passes by. As is often the case with imaging techniques, it is desirable to achieve a high resolution. The next section deals with how high range resolution can be achieved.

2.3 Range Processing

Range processing in this dissertation is the term used to describe the algorithms that are implemented by the SAR processor on the received data in a range direction. This multi-functional task may include factors such as pulse compression, motion compensation and interference suppression, depending on what is needed to achieve the optimum **range resolution** and from the received raw data and optimising the focused data using other recorded data such as the motion of the aircraft platform.

Range resolution is the measurement of the extent to which a target can be discriminated from other targets in its vicinity. Since modern SAR systems rely on digital recording techniques, range resolution is also related to the processed image pixels, and a simple relationship between the two can be found. The range pixel spacing, Δr , is given in Equation 2.1, where f_{sample} is the A/D sample rate. The ground range pixel spacing, Δr , is given in Equation 2.2, where θ is the look angle.

$$\Delta r = \frac{c}{2f_{sample}} \quad (2.1)$$

$$\Delta g = \frac{\Delta r}{\sin(\theta)} \quad (2.2)$$

From a radar design perspective, range resolution is dependent on specific properties of the transmitted pulse that is used by the radar. The simplest form that a transmitted pulse can take is a monochromatic pulse. The resolution that is achievable by a monochromatic pulse can be shown to be related to the inverse of the spatial extent (or the 3dB bandwidth) of the signal, as shown in Equation 2.3 . Therefore, in order to obtain a high range resolution, a signal with a short spatial extent is needed.

$$\rho = \frac{c\tau}{2} \quad (2.3)$$

Radars are limited by the transmit peak of their power amplifiers. This ceiling on the transmitter strength, limits the maximum achievable range resolution using this type of pulse, as shorter pulses require high peak transmit power to ensure an acceptable *Signal to Noise Ratio* (SNR) for the received signal.

However, by using pulse coding methods, longer pulses can be transmitted giving sufficient energy in returned signals and high bandwidth can still be achieved.

2.3.1 Pulse Compression

Pulse compression is a common signal processing technique that can be used to increase the range resolution of transmitted pulse without having to increase the peak transmit power.

One particular type of coded pulse used is linear frequency modulation or the linear chirp, which has been implemented by Horrel and is used in this dissertation. In this form of pulse compression the transmitted signal is modulated with a linearly varying frequency. The modulated form of the chirp pulse is shown in Equation 2.4.

$$s_{RF}(t) = \cos \left[2\pi \left(f_o t + K \frac{t^2}{2} \right) \right] \quad |t| \leq \frac{\tau}{2} \quad (2.4)$$

Here, S_{RF} is the time domain description of a signal where f_o is the carrier centre frequency and the pulse duration is defined by $|t| \leq \frac{\tau}{2}$. A characteristic of the chirp waveform coding technique is the dispersion factor (or time-bandwidth product)

$$D = K \frac{t^2}{2} [16].$$

After convolving the received signal with a time reversed representation of the transmitted pulse, a high range resolution is obtained when compared to a monochromatic pulse of similar length. The bandwidth that is achievable using this technique is stated in Equation 2.5, where it shows that the bandwidth of the received pulse is β .

$$\beta = K\tau_p \quad (2.5)$$

One of the disadvantages of this pulse is that the main lobe to side lobe ratio is approximately -13dB, but this can be improved by weighting the wave form with a window function before compression[20].

There are other aspects of the SAR processor that optimise the final processed SAR images: namely motion compensation and interference suppression. These are dealt with in the next sub-sections.

2.3.2 Motion Compensation

This sub-section is an overview of motion compensation and how it is implemented in SASAR and the G2 parallel post processor.¹

Motion compensation is an integral part of SAR processing, as motion errors cause distortions in the processed image. In azimuth processing, phase information is important and needs to be accurate within a fraction of a wavelength to be acceptable.

The ideal flight path is a parallel to the imaging swath. However this is never achievable, due to atmospheric turbulence, high altitude winds and navigation errors. Three dimensional accelerometers or *Inertial Measurement Unit* (IMU) and *Global Positioning Satellite* (GPS) position data is recorded on the SASAR platform used for post processing. Data correction for motion compensation in the G2 parallel processor is broken down into three steps:

1. Accurate reconstruction of the flight path from IMU and GPS data.
2. Interpolating and matching a point in the flight path to each transmitted pulse.
3. Implementation of corrections using the reconstructed flight path.²

As in most strip-mapped SAR implementations, the strategy employed for motion compensation by the G2 processor is to correct the data to a nominal straight flight path. This nominal flight path can be defined as a straight line between the starting

¹For a more details see [12], Chapter 5.

²Only this step is implemented in this dissertation.

point of data capture to the end point of data capture, taking the average altitude of the aircraft between the two points.

The flight deviation from the nominal flight path, results in the processor performing a range shift of ΔR and a phase shift of $\Delta\varphi$ on each range line of data, given in Equation 2.6.

$$\Delta\varphi = \frac{4\pi}{\lambda}\Delta R \quad (2.6)$$

Motion compensation is performed after range compression in the G2 parallel processor. It consists of a range shift by 8 point Shannon interpolation, and a phase shift implemented as a complex multiply to each range line.

It is noted that the motion compensation has a limitation in that the correction is only exact for some reference range, which is usually chosen to be in the middle of the range swath. For VHF radar, the synthetic aperture length is very large compared to higher frequency SAR systems, so this approach for motion compensation is only approximate, but works if the aircraft motion is not too extensive.

2.3.3 Interference Suppression

There are many commercial services such as television broadcasting, mobile communications and cellular phones that transmit in the VHF band, which form interference patterns in the processed image. One way to get rid of the RFI is to increase the radars transmitted signal, but it then might interfere with the commercial transmissions. In Lord, R *Aspects of Stepped-Frequency Processing for Low-Frequency SAR Systems* [17] the RFI has been characterised as narrow band compared to the radar transmitted signal and is fairly constant over a number (up to 5000) of range lines.³

Notch Filter

A notch filter is a frequency domain implementation to remove the RFI, and tapers off spikes in a range line's magnitude spectrum. Since the signal is random in nature, by taking an average of a number of magnitude range spectra and the RFI being fairly constant will be enhanced and notches can be added to the matched filter.

For the G2 parallel processor, the notch update rate does not necessarily have to be the same length as the number of *Fast Fourier Transform* (FFT) lines used to find

³Although there are many different ways of suppressing RFI, only two are described here, as they are part of the G2 processor and implemented by Lord. [17]

Table 2.1: Notch Filter Parameters

Processor Parameter	Default Value
Notch update rate	100
Number of FFT Lines used for FFT	100
Notch cutoff	3 dB
Median kernel length	33

Table 2.2: LMS Filter Parameters

G2 Parameter	Default Value
LMS update rate	10
LMS number of weights	512
LMS side lobe order	0

the notches. It is also possible to set the notch cutoff value, expressed in decibels. The kernel length for the median filter to find the spikes can also be set. The typical values for SASAR are given in Table 2.1 showing the notch filter parameters.⁴

LMS adaptive filter

As its name suggests it is an adaptive filter using a *Least Mean Square* (LMS) algorithm that can track time variations in the statistics of the input data.⁵

For the G2 processor, the LMS update rate can be set so it uses the same filter over a number of lines. The LMS number of weights can be set as well as the side lobe order. With reference to Table 2.2, typical parameters for SASAR data are shown.

2.4 Azimuth Processing

What separates SAR processing from other radar techniques is the way that high azimuth resolution is achieved.

For conventional Real Aperture Radar (RAR), azimuth resolution depends on the real length of the antenna A_{real} and is approximated in Equation 2.7 where λ is the wavelength, and R is the slant range to the target.

$$\delta_{real} \approx \frac{R\lambda}{A_{real}} \quad (2.7)$$

⁴For more detail on the Notch Filter see [17]Chapter 4.5

⁵For more detail on the LMS adaptive filter see [17] Chapter 4.6

This physical limitation on array size for airborne radars means it is difficult to achieve high azimuth resolution for RAR.

However, using SAR processing techniques it can be shown that the azimuth resolution is dependent on the length of the synthesised aperture, which is stated directly in Equation 2.8. Note that this is the small azimuth beam width approximation.

$$\delta_{sar} \approx \frac{\lambda R}{2L} \quad (2.8)$$

The value for L in equation 2.8, is the length of the synthetic aperture and it is only limited by a target needing to be in the real beam width of the radar, thus the wider the beam-width the higher azimuth resolution that can be achieved.

2.4.1 Azimuth Processing Algorithm

There is a strong correlation between the chirp pulse and the algorithm that is used for azimuth compression. The mathematics can be derived from the geometry and after making some approximations.⁶

2.4.2 Range Curvature Correction

As the range from radar to the target increases, the range curvature increases and may extend over multiple range bins. This excessive range curvature can cause the energy from a target to be spread over multiple range bins that are not in the same range line. In order to align the received energy from a particular target, range curvature needs to be calculated and the data needs to be corrected. This is achieved using interpolation for sub-pixel accuracy and the data is shifted. Range curvature is most often a problem for low frequency SAR as the reference functions are long compared to higher frequency radars.

⁶For a detailed description of azimuth processing the reader is referred to [19]

Chapter 3

Principles of Parallel Computing

3.1 Introduction

There are many suitable candidates for parallelisation that have been proposed and implemented over the years, including complex system models, simulations and 'grand challenge' problems[2]. This chapter provides a description of parallel computers and where the parallel processor used in this dissertation falls into the of parallel processor family tree. It describes some of the fundamental concepts, theories and software implementations that form a background to this dissertation.

3.2 Taxonomy of Parallel Computers

There are many variations on the parallel theme, which are often differentiated or taxonomised in terms of their hardware profile and implementation software libraries. The first widely accepted taxonomy for parallel computers was devised by Flynn in 1966, which is still in use today. He categorised all computer architectures according to the number of instruction streams and data streams:

- *Single instruction single data* (SISD): A sequential computer like the von Neumann model used in modern PC's.
- *Single instruction multiple data* (SIMD): A style of processors that work on vectors of data (used in Graphics chips)
- *Multiple instruction multiple data* (MIMD): This is defined as many processors simultaneously executing different instructions on different data.
- *Multiple instruction single data* (MISD): No well known systems are documented.

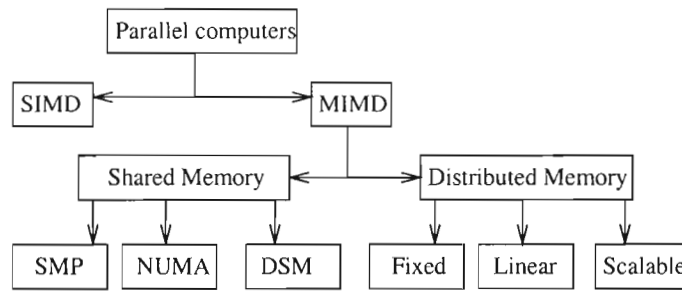


Figure 3.1: CISE Taxonomy (taken from CISE web page)

Despite the popularity of Flynn's taxonomy, his system does have inherent problems as MISD is not widely implemented. In light of these problems, new taxonomies have expanded on Flynn's original system. One such system has been set up by the University of Florida CISE department.

This new taxonomy is taken from the consensus that the areas that have seen the most growth need further subdivision. Figure 3.1 shows the additions that have been made to Flynn's system by University of Florida's CISE department[23].

From Figure 3.1 it can be seen that for SIMD no further definition refinement was given. However, MIMD systems have been split further according to the memory organisation, namely shared memory and distributed memory. These two categories have also been further subdivided, and there are six classifications according to the CISE taxonomy:

- *Symmetric multiprocessing* (SMP): Here, the memory is shared, and all processors access the memory equally at equal speeds.
- *Non-uniform memory access* (NUMA): For this implementation, the memory is physically shared but not distributed on an equal basis amongst the processors.
- *Distributed Shared Memory* (DSM): Here, the memory is distributed amongst the processors, but it appears contiguous at the application level.
- *Fixed*: Here, the number of connections is fixed. An example is a *Cluster of Workstation* (COW) connected via a hub or switch, as hubs and switches can only handle a pre-allocated number of processors.
- *Linear*: Here, the number of connections grows linearly with the number of nodes. An example is mesh connected multicomputers such as the Intel Paragon.

- *Scalable*: the number of connections grows as $P \times \log(P)$ or greater with the number of nodes. An example is hypercubes such as the Intel iPSC/860.

It would be possible to add more branches to the CISE taxonomy, but according to CISE there is no clear consensus about what these categories should be. For the purposes of this dissertation the CISE taxonomy is a good enough description. However other taxonomies do exist using different aspects of parallel processors to differentiate them.

Over the past few decades COWs have become a cost efficient option over the more expensive super computers like Crays. This is because COWs consist of a heterogeneous cluster of workstations, which can be used as individual workstations or working together in cluster. New nodes are off-the-shelf commodities and can be added dynamically to the network with very little implementation overhead. COWs are also no longer locked into reliance on proprietary software vendors allowing operating systems and other software to be modified and updated with at the fraction of the cost of the hardware maintenance of the system.¹

The parallel processor used as the bench mark in this dissertation is a Beowulf Cluster which falls under the COWs section of the CISE taxonomy. Beowulf Clusters are so named after the first of its kind which was developed at NASA's Jet Propulsion Laboratory and was called Beowulf.

A more formal definition of Beowulf Cluster, is a high performance computing cluster that has some or all of the following components:

- Using *Commercial Off the Shelf* (COTS) hardware (such as PC's, switches and hubs)
- Using one of the many flavours of message middle-ware, including the following products:
 - *Parallel Virtual Machine* (PVM)
 - *Message Passing Interface* (MPI)
 - MOSIX

Beowulf clustering has a low cost for performance due to COTS hardware and low risk due to source software. This has made Beowulf clustering a viable solution, compared to the other parallel processing hardware solutions.

¹For a thorough overview of the state of Parallel Processing the reader is referred to [27]

Table 3.1: Comparison of Message Passing Implementations[9]

Option	PVM	BNM
Spawn method	User daemon	System daemon
Startup command	pvm	bnmrun
Spawn command	pvm_spawn	
UDP communication	default	No
UDP packet size	4K (settable w/ pvm_setop)	N/A
TCP communication	PvmRoutDirect(pvm_setop)	default
TCP packet size	4K (settable w/ pvm_setop)	maximum
Option	LAM	MPICH
Spawn method	User daemon	System, user or rsh daemon
Startup command	mpirun	mpirun
Spawn command	MPI_Spawn()	N/A
UDP communication	default	No
UDP packet size	8K	N/A
TCP communication	-c2c (mpirun option)	default
TCP packet size	maximum	maximum

3.3 Messaging Middle-ware

Middle-ware, or more specifically messaging middle-ware, is the software layer that can best be described as a common communications interface between software applications in a generic parallel system. This allows tasks - either on local or remote machines to send data back and forth to each other in a synchronous or asynchronous manner. Data sent to a particular program is stored in a queue and then forwarded to the receiving program when the receiving task becomes available to process it. There are many message passing implementations that have been developed. The next two sub-sections illustrates a few of these implementations.

3.3.1 Message Passing Implementations

Two of that have been used on the Beowulf Cluster at UCT are Parallel Virtual Machine (PVM) and the Message Passing Interface (MPI) standard implementation called MPICH. Since PVM was used for the parallel implementation for this dissertation the next sub-section gives an overview of PVM.²

3.3.2 Parallel Virtual Machine

Parallel Virtual Machine (PVM) collaborated venture between Oakridge National Laboratory , the University of Tennessee, Emory University and Caregie Mellon

²For a comparison between the PVM and MPI and other standards see [9].

University[9]. It is a popular parallel system implementation that can be used to view a large number of heterogeneous hosts in a network or even across the Internet as a single available resource. It is based around the concept of a virtual machine that consists of two parts: the PVM daemon and a set of PVM library routines that can be called from a PVM task.

The PVM daemon runs on each node in the network and is responsible for spawning tasks, performing inter-task communications and data distribution.

PVM provides routines for packing and sending data between tasks, transmitting messages over the underlying network hardware using appropriate protocols, such as Unix Datagram Protocol (UDP) or Transfer Control Protocol (TCP). The library routines are available for many common programming languages: C, FORTRAN, Java and Python.

There is a command line interface for PVM that can be used to start the PVM daemon and add hosts to the PVM configuration. There is a graphic front end called XPVM which provides task trace output and other useful graphic information.

PVM Maintenance Routines

pvm_spawn() is used to spawn other tasks in the PVM environment. **pvm_getop** and **pvm_setop()** are used to get and set the PVM environment options. Some of these options include **PvmRouteDirect** which routes messages directly to receiving tasks.

pvm_joingroup() is used to initialise and maintain process groups. By using the function **pvm_barrier()** all tasks in a group need to have made a similar call before tasks can continue.

PVM Message Passing and Packing Routines

PVM send routines are nonblocking **pvm_send()**, while PVM receive routines can be either blocking **pvm_recv()** or non-blocking **pvm_nrecv()**. The same message can be sent to multiple tasks by using **pvm_mcast()** call.

Data is sent and received through message buffers. Data typing is maintained by packing it into a send buffer. It is left up to the receiving task to unpack the data according to the format in which it was packed. **pvm_pk*()** is used to pack data and **pvm_upk*()** is used to unpack data in order.

3.4 Parallel Processing Theory

Like most other sciences, parallel processing had developed its own own theories and performance metrics to evaluate and compare parallel processors. This section is intended to give an overview of some of the more common parallel processing theories and performance measurements.

3.4.1 Amdahl's Law

This is probably the most well known law for parallel processing. Amdahl's law shows that the scaling performance of a parallel algorithm is limited by the inherent serial parts of the algorithm.

$$S \leq \frac{1}{f + \frac{1-f}{N}} \quad (3.1)$$

Equation 3.1 defines Amdahl's law. S represents the theoretically achievable speed up factor for a parallel version of an algorithm and N is the number of compute nodes used by the algorithm. Every parallel program has an inherent sequential component represented by f . Taking an example, if 25% of the algorithm is inherently sequential, the maximum achievable speed-up (taking $N \rightarrow \infty$) will be 4.

Amdahl's law as a model is limited in its application, and it has been extended to include communication overhead and other parallel factors. However, it does show one important fact: the the parallelisation of the algorithm as a whole is important, and by choosing to only parallelise parts of an algorithm will not achieve a high speedup ratio.

3.4.2 Processor Speedup Factor

This metric can be used to compare a parallel solution with a sequential one. This is given in Equation 3.2. This is often compared to the theoretical speedup shown in equation 3.3.

$$S(N) = \frac{T_{sequential}}{T(N)_{parallel}} \quad (3.2)$$

$$S(N) = \frac{T_{sequential}}{N} \quad (3.3)$$

However, it is generally noted that as a rule of thumb the theoretical speedup usually has an efficiency around 60%, and Equation 3.3 can be modified accordingly, as is shown in Equation 3.4.

$$S(N) = \frac{T_{\text{sequential}}}{0.6N} \quad (3.4)$$

University of Cape Town

Chapter 4

Parallel Processor Implementation

4.1 Introduction

As has been indicated there already exists a serial version of a SAR processor known as the G2 processor. This chapter describes in detail the parallel version of the G2 processor which was derived from the serial version.

The G2 parallel processor can be best described as a data parallel processor, taking advantage of the fact that, a small subset of range lines can be processed independently from the rest of the image in a range direction and a small subset of azimuth lines can be processed independently of the rest of the image in an azimuth direction.

However, there is a limit to how many range lines or azimuth lines can be processed independently. This is due to the fact that range presuming is implemented to increase the SNR of the received signal and the RFI suppression notch filter needs a number of range lines to create its filter. In the azimuth direction, range curvature correction requires a number of azimuth lines for interpolating the range curve to a sub-pixel level. Since range compression and azimuth compression are independent processes, the processor implements a range compression algorithm (with data conditioning capabilities), a corner turn and an azimuth compression algorithm.

The G2 parallel processor is divided up as a master task and a group of slave tasks. The parallel processor is fully scalable from 2 to N slave tasks. Since the hardware benchmark for the G2 parallel processor is an 8 node Beowulf cluster there is no point in implementing more than 8 slave tasks, as it hits a performance degradation once the processor goes beyond the 1 processor 1 task threshold. It is better to have 1 process handling a large set of data than two processes handling smaller sets of data while they are competing for CPU time. The master task is spawned on a dual Pentium processor so a slave can be spawned on the same node as the master task, with minimal effect on the loading to that node.

4.2 Processor Source Code

The processor source codes can be found on the attached compact disk in the directory `*/thesis_source/`.

Source code	Contents
Pg2Mrnc.c	Master process source code
Pg2Srnc.c	Slave process source code
g2000.jcc	Code Crusader (jcc) project header file
*.c & *.h	All other source code and header files for functions and procedures
Makefile.aimk	Special PVM make file

The next sections deals with the implementation of the master process.

4.3 Implementation of the Master Process

The master-slave model is a standard approach that can be used to implement parallel programs. This model divides the responsibilities neatly between a master process that is in control of data flow and the processing environment and the slave tasks which performing the algorithms that need to be implemented on the data set.

4.3.1 Initialisation Phase

The first step for the master process is to read in data from the setup file that follows as a command line argument when the master processes is started. This setup file is indicated in Figure 4.2 as 'general setup file'. During this phase some error checking is performed to make sure that there are no conflicting setup parameters. This step does not require any interaction with the PVM environment.

Next the master process initialises the PVM environment and spawns the slave processes. It is assumed that the PVM daemon has been started up prior to the master task being started. In order to optimise the message overhead the `pvm_setopt(PvmRoute, 3)` option is set, routing messages directly to tasks. The master process will then attempt to spawn a slave task on a node in the cluster that has the least number of PVM tasks running on it. Thus if there are 8 available nodes in the cluster and 8 slaves need to be spawned, the master process will spawn seven slaves on their own nodes and spawn the last slave on the same node as the master process, assuming that the master process was spawned on the first node in cluster processor list. The master tasks uses the information made available by the

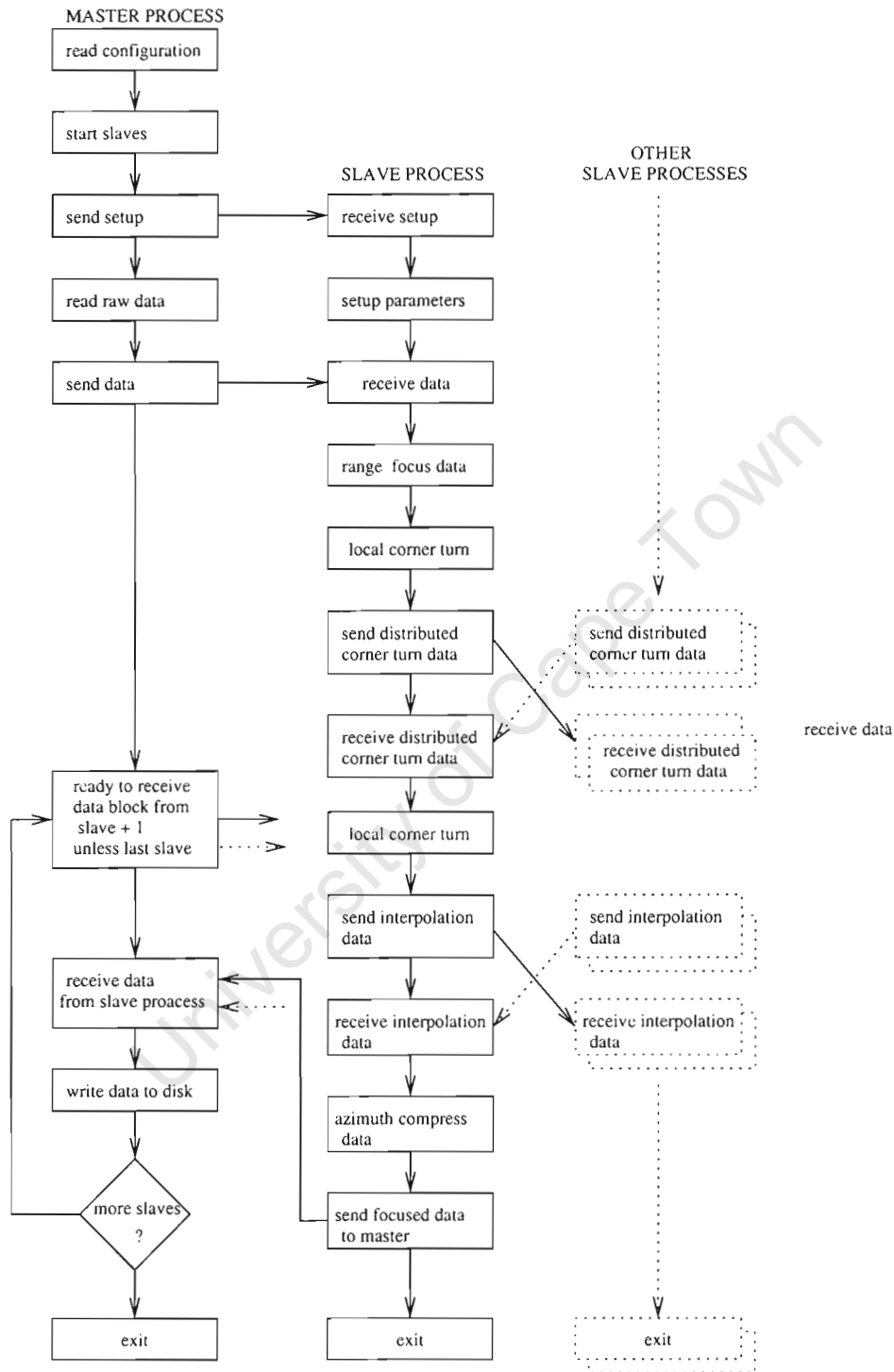


Figure 4.1: G2 parallel processor program flow

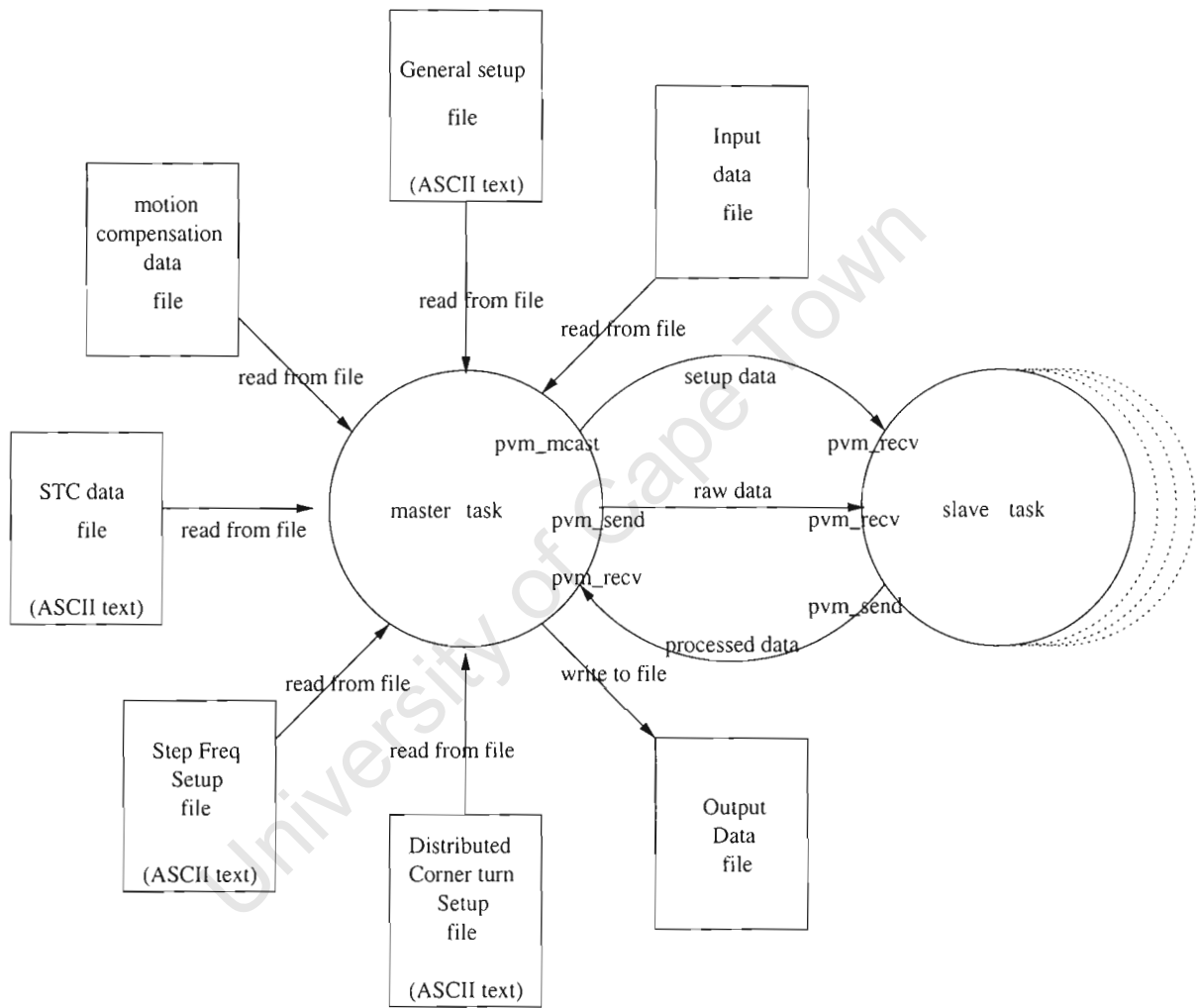


Figure 4.2: Program Data Flow

`pvm_config()` function which returns a structure describing the PVM environment. The master process checks the PVM tasks load only, i.e. it does not check the overall load on each node, it checks through the PVM configuration function.

After the slaves have been spawned the master process sets up the parameters for how to divide the data that needs to be processed between the slave tasks. If notch filtering is being used for RFI suppression, a data overlap might be needed for the notch filter to operate correctly and the size of this overlap is calculated, adding extra range lines of data, so that at least a multiple N data lines exist at the end of the data block for use by the filter, where N is the number of range lines needed to calculate the notch filter.

Next, pointers to output files (i.e. for the processed data) are allocated and all other processing setup data that is need from files is read into appropriate memory buffers. This includes the motion compensation data, step frequency processing setup data, STC data and the distributed corner turn setup data. All these are indicated in the data flow diagram Figure 4.2.

In the original G2-processor software, in order to save memory the motion compensation data is read from a file into a memory buffer only when required. The master task, however reads motion compensation data into a buffer so that it can be sent to the slave tasks. All motion compensation data is passed to all the slave processors. But each slave is given a list of offsets so that it knows where to start applying the motion compensation data to its raw data set.

Data read in from the setup files is used to calculate other processing parameters, the most important of these being the frequency domain representation of the range reference function for the match filter. The processor uses power of 2 FFT, so the memory buffer size can be pre-calculated. The disadvantage is that it might use large amounts of memory to produce an FFT representation of the range reference function which is zero padded.

4.3.2 Starting up the Slave Processes

At this stage of the process all the parameters that the master task can define for the problem have been calculated and all the slave tasks have been spawned, the setup information now needs to be transfered to the slave processes. The initialisation data and raw data have been divided into two separate message passing phases. This is done because it takes time for the spawned slave processes to set themselves up using the setup information and the master is can start reading in data from the file while the slave tasks are processing the setup data. The setup data is multi-cast to all the slaves as there is a lot of overlap data that each slave needs. Thus the

Table 4.1: Example of parameters sent in multi-cast message

Parameter	Explanation
NumberOfSlaves	Number of slaves used in processor
TIDs	Array of Slave Task ID's
SizeToRead	Size of input buffer to create
Flg.RngCom	Flow control flag for range compression
Flg.CnrTrn	Flow control flag for corner turning
Flg.AzmCom	Flow control flag for azimuth compression

PVM multi-cast option can taken advantage of for sending the setup information to the slave processes. Firstly the information needs to be packed using the `pvm_pk*` functions and then using the PVM multi-cast function to send the data to all the slave processes.¹ The call looks like this in the code:

```
pvm_mcast(TIDs, NumberOfSlaves, RNC_SETUP)
```

TIDs is the PVM identity numbers for the slave processes and RNC_SETUP is a tag that is used to as a message identifier. Table 4.1 gives an example of some of the parameters that are passed in the multi-cast message.

4.3.3 Scatter the Raw Data to the Slave Processes

The next phase is to pass the data that needs to be processed to the slave processes. The data is passed as byte format to the slave processes, but they are capable of processing 'unsigned char' or 'float' format data - by casting the data type once the raw data has been received. It may be the case that the data is padded at the front and end of each range line. This padding is passed to the slave processes, so that block reads can be done from the hard disk. The slave process while processing the data casts the data type and removes the header and footer information. The input data is usually of byte type (SASAR input data is of type signed char) and after being cast to floats they will be four times the original size of the input buffer, depending on how much pre-summing is done on the input data. The processor is capable of handing the input data in a float format but in this situation advantage of the data representation is lost.

4.3.4 Receiving Processed Data from Slave Processes

Once the raw data has been sent to the slave processes, the master task idles until the data has been processed by the slave tasks and has been sent back to the master

¹For a full list of all the available pvm functions see the appropriate PVM documentation

process to save to disk. In the PVM model, message queues are stored in memory on the receiving tasks machine, so it is important that slave tasks only send data to the master task when memory space becomes available, otherwise the processor running the master task will eventually have to swap the receiver buffer to disk, greatly increasing the time it takes to save the data to file on disk. Thus the slave tasks first waits for a request from the master task to send its data. While slave $N - 1$'s data is being written to disk, slave N sends its data to the master process.

Once all the data has written to disk, the master task exits from the PVM environment and frees the resources it was using.

4.4 Implementation of the Slave Process

Each node in the cluster keeps a local copy of the executable for the slave task. So when the master task spawns a slave task on a particular node, the executable does not need to be sent to the designated processor to start execution, the local copy is loaded into memory. Once a slave has been spawned it has its own unique TID tag, and can have data sent to it by specifying this tag in a PVM message parameter.

4.4.1 Initialisation Phase

Once the slave task has been spawned by the master process, the slave needs to find its unique TID value and the master processes TID value. These are necessary in order to receive data from and send data to the master task. Once enrolled in the PVM environment a work group is set up, using the `pvm_joiningroup()` function. The first slave process that enters the group will create it automatically. The work group facility is used to aid co-ordinate the distributed corner turn. Once again the `pvm_setopt(PvmRoute, 3)` is used to optimise the message overhead by passing data directly to tasks, i.e. not via the PVM daemon.

The slave process is responsible for processing the data it receives from the master according to the rules and information it has been sent via the setup message from the master task. Depending on what has been requested, the slave process is capable of performing motion compensation, two flavours of RFI suppression (not at the same time), range compression, a distributed corner turn and azimuth compression. This functionality was done to maintain the general processor capability of the G2 processor, as well as assist in debugging. By setting values for specified flags it is possible to toggle these functions according to what is required.

4.4.2 Range Compression Implementation

If the range compression flag has been set, range compression will be performed on the input data. However, usually before the match filter algorithm is implemented various data conditioning can be done on the data. This includes motion compensation and RFI suppression. It is also possible to perform a simple pre-sum on the data to increase the SNR. In order to save on memory, the output data is written over the input data buffer. If the corner turn flag and azimuth compression flag are not set, the slave task will send the data range compressed data to the master process to save to disk.

4.4.3 Corner Turn Implementation

If the corner turn flag is set, the slave tasks will collaborate to perform a corner turn. The slave tasks perform the corner turn independently from the master process. The implementation of the corner turn is dealt with in more detail in a later section. If the azimuth processing flag is not set the slave processes will perform the corner turn and pass the data back to the master process. It is thus possible to perform a corner turn on a data set without performing range compression or azimuth compression.

4.4.4 Azimuth Compression Implementation

If the azimuth compression flag is set, the slave tasks will perform azimuth compression on the raw data set. Before the azimuth compression is performed extrapolation data for the first and last lines of azimuth data needed is sent from one slave to the other. When performing azimuth compression, the algorithm needs to have up to 8 range lines to determine the sub-pixel position of range curved range line. Once the overlaps have been received azimuth compression can be done on the data. To save memory the output data is written over the input data. Each slave processor will calculate the parameters for azimuth compression according to its 'local' near range and far range values for its particular piece of the data set. This has implications on the size of the match filter buffer that is allocated in memory for each slave and the size of the FFT power of 2 buffer. By using the 'local' near and far ranges instead of the 'global' values, the lower order slaves are able to use smaller power of 2 FFT's.

The next section deals with the corner turn implementation of the G2 parallel processor.

4.5 Corner Turn Implementation

The otherwise strait forward process of a corner turn is complicated by the fact that the data is distributed among the processor's memories and is contiguous in a range direction. The simplest solution would to send all the data back to the master process, which would then performs the corner turn and distribute it back to the slave processes to perform the azimuth compression. However all the data would be need by the master task before a matrix transpose could be performed. This would mean that there would be idle machines in the cluster while the master processor performs the corner turn. The data would be too big to store in RAM on one processor, the corner turn would have to be performed using slower disk access, using more manageable blocks of data in RAM. It was therefore proposed that it would be more efficient to transfer data between the slave tasks, keeping all the data distributed in RAM and transferring the data amongst the slave processes, i.e. a distributed matrix transpose. Since in most of the texts on SAR, they refer to a corner turn as a concept, this dissertation refers to an implementation of a corner turn where a distributed matrix transpose is used.

In brief, the distributed matrix transpose can be broken down into three steps:

1. **A local transpose:** each slave performs a matrix transpose on its own data before it is sent to other slave processes.
2. **Message passing phase:** each slave will send data to every other slave process in the NOW.
3. **A second local matrix transpose:** to get the data into a contiguous order.

Figure 4.3 shows how the distributed matrix transpose would be performed. Each rectangular block represent the data as they would be in a memory buffer on each slave process. In order to help understand the diagram two sub-blocks have been highlighted, a black block and a grey block. The black and grey blocks can be used as a visual aid to follow the process. By following their progress through the steps it is hoped to clarify the fundamental principles of the process, that is explained below.

More formally an $M \times N$ matrix is distributed over H slave processes. So each slave process has its own data block, the size of is given in 4.1.² The M dimension is the dimension in range direction and the N dimension is the dimension in the azimuth direction.

$$\text{local data block} = M \times (N/H) \quad (4.1)$$

²For simplicity assume that H is a factor of M and N

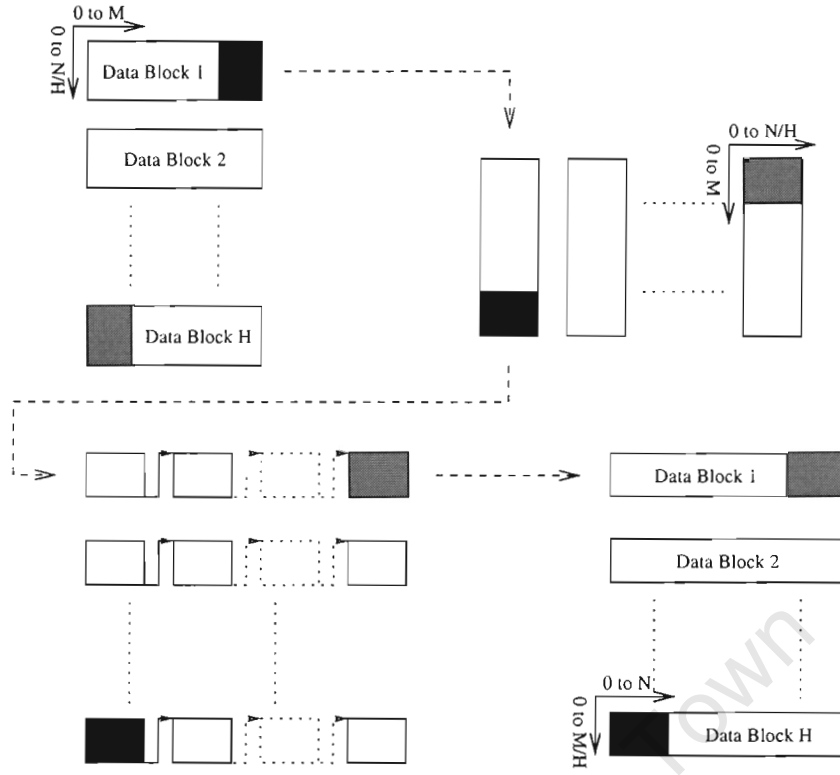


Figure 4.3: Distributed Matrix Transpose

This is usually expressed in complex float values if the data has just been processed by the range compression algorithm. The first step is to transpose the the data belonging to each slave process. This can be best described by Equation 4.2

$$local\ transpose = (M \times (N/H))^T \quad (4.2)$$

The relevant data can now needs to be passed onto the other $H - 1$ slave processes by each slave. This is indicated as step 2 in Figure 4.3. Each slave process needs to pass $H - 1$ sub-blocks of the size indicated in Equation 4.3

$$data\ size\ to\ transfer = \frac{M.N}{H} \quad (4.3)$$

After Step 2 all the slave processes have communicated with each other and have received the necessary data to make up a data block that contains all the azimuth data necessary for azimuth compression. However, the data is not yet contiguous for azimuth compression. This is shown in step 3 in the Figure 4.3.

Thus a second local matrix transpose is performed. This is indicated by step 4, and it is shown that the data is now contiguous in the azimuth direction and azimuth compression to be applied on the new matrix.

$$local\ data\ block = N * (M/H)$$

This shows the basic overview of the distributed matrix transpose. The actual implementation was taken from this design. The next sub-section deals with the refinement of the local matrix transposes by doing them in-place.

4.5.1 In-place Matrix Transpose

The standard method used to transpose a matrix is to use a double buffer. Since the processor will be dealing with large data sets the processor must process as much data as it can on each node of the NOW, an in-place matrix transpose was investigated in order to maximise the data block size on each slave process.

This method uses reverse cyclic permutations.³ Circular permutations are used to transpose the matrix in step 1 and step 3 indicated in Figure 4.3. By using an in-place matrix transpose, larger amounts of RAM memory is available to store input data sets at the cost of time to transpose the matrix and there is a penalty of overhead of storing permutations that are used in the transpose.

Protnoff [22] proposes a special implementation of the in-place matrix called the "Divide and Conquer Method". For the implementation for this dissertation, the cache hits did not improve the performance much compared to the Cate and Twigg method, however it worked just as well, so it was still used.⁴

4.5.2 Message Passing Phase Implementation

The message passing phase is a general purpose implementation. Since this is actually a matrix transpose the idea of circular permutations is used to perform the message passing phase - step 3 in Figure 4.3.

The process is divided into rounds. Each round has a partner to pass data between. This takes advantage of the Full Duplex Switched Ethernet available on the cluster. In this mode the system each node is able to transmit and receive data simultaneously. Using a switched rather than shared connection to the hub, each node has the possibility of sending and receiving 10Mb/s. for a theoretical aggregate of 20Mb/s total. with the actual efficiency much lower. This actually eliminates the "collision detection" part of the Ethernet protocol.⁵

As an example to illustrate the data passing phase, the matrix below gives a description of four slave processes wishing to redistribute the distributed data set. Each element has an x and y coordinate. The x coordinate describes who the data

³A permutation is a sequence of rules used to reorder a set of elements.[22]

⁴For an example on reverse circular permutation see the example given [22]

⁵Reference taken from <http://www2.shore.net/~jeffc/fdse/>

Table 4.2: Setup values for Corner Turn Configuration

Parameter		Value
\$PermLength	=>	16
\$PermValues	=>	0 1 2 3 -1 0 3 1 2 -1 0 2 1 3 -1 -2
\$ElemValues	=>	1 0 3 2 -1 3 0 2 1 -1 2 0 3 1 -1 -2

belongs to and the y coordinate describes who the data needs to be sent to. This is the special case for square matrices

$$\begin{array}{cccc}
 0^{(0,0)} & 1^{(0,1)} & 2^{(0,2)} & 3^{(0,3)} \\
 4^{(1,0)} & 5^{(1,1)} & 6^{(1,2)} & 7^{(1,3)} \\
 8^{(2,0)} & 9^{(2,1)} & 10^{(2,2)} & 11^{(2,3)} \\
 12^{(3,0)} & 13^{(3,1)} & 14^{(3,2)} & 15^{(3,3)}
 \end{array}$$

For four slave processor the sequence that would be used to perform the message passing phase is given in Table 4.2, where each number represents each block in a square matrix that makes up the message passing phase.

The sequence of numbers in Table 4.2 can be generated automatically by the processor, but for optimal results, it is sequenced by hand. From the list it can be seen that all the machines are communicating for each round. However with an odd different of slaves, it might not be possible to have each slave process communicating with another slave process for each round.

The code takes advantage of some PVM options to reduce the communication time. By using the pvm option `pvm_psend()`, the data is transmitted directly from the buffer in memory. By using the `pvm_precv()`, the same is achieved on the receiving machine.

4.6 Conclusion

This chapter has given an overview of the development of parallel version of the G2 processor. The process was divided according to a master-slave type implementation. The processor was developed using PVM tools, with calls to the comprehensive PVM library. The heart of the processor is the implementation of the range-Doppler algorithm in the slave task. In order to keep all the data to process in node memory without having to write the data to disk, a distributed in-place corner turn is performed based on techniques suggested by Portnoff[22] on the data by the slave processors. This reorders the data from a range direction to an azimuth direction

in order for azimuth compression to be performed on SAR data.

University of Cape Town

Chapter 5

Analysis and Results

5.1 Data Set

The data set used to develop and test the G2 parallel processor was taken from SASAR flights over the Hermanus region, near Cape Town South Africa. The actual flight data was captured on the 29 November 1999, and is located in a file called `leg1-vh.raw`.

5.2 Comparison of Serial to Parallel Version

5.2.1 Performance Comparison

The best performance achieved was for a 48000 by 4096 raw image, with no pre-summing. Some of the important options that were set for the processor, that have an effect on performance are described in Table 5.1. All processing parameters were set equal for both the serial and parallel processor.

Table 5.1: Critical Processor Parameters

Option	Meaning	Value
RngBinsToProcess	Length of range line	4096
PreSummedPulsesToUse	Number of range lines to process	48000
PreSumRatio	Presumming before range compression	1
InputDataType	Unsigned Char I/Q (2* 1 byte)	0
OutputDataType	Floating point I/Q (2*4 bytes)	3
MoCompFlg	Motion Compensation applied	Y
NotchFlg	Notch Suppression used	Y

Table 5.2: Processor Comparison

Processor	Time(sec)	Speedup
G2 processor	9344	
Theoretical Speedup	1168	8
Theoretical Speedup 60% Efficiency	1947	4.8
G2 parallel processor - 8 slaves	1507	6.2

Table 5.2 shows the best performance for the most advantageous setup with respect to the parallel processor. Here no pre-summing is done on the data - which means that each slave performs range compression on its full data set, a factor which yields the best speedup factor. If pre-summing is set so that less range compression is performed on each slaves set of range lines, this reduces the speedup to 4.2, which is below the industry rule of thumb of an efficiency of less than 60% when compared to the serial version.

5.2.2 Image Output Comparison

The algorithms used for the serial and parallel versions are exactly the same, however the focused images could differ due to differences in the way the azimuth compression behaves. This is shown in section 4.4.4, and has to do with the size of the local FFT buffer. By using the "local" far range to calculate the size of the FFT buffer the lower order slaves are able to use smaller power of 2 FFT's. This means that the output will differ from the outputted image from the serial version.

The outputted images also differ when LMS filtering is implemented. The LMS filter only settles down after the first processed range line, so the first line processed by the LMS filter is not processed correctly. This can appear as while lines across the image, and is the the first line that is the first line processed by each slave processor.

5.3 Timing Output Analysis

This section gives an analysis of the timing output of the G2 parallel processor. The time to start and stop time is best described as the time from when the master task starts to read the raw data from disk to when it writes the processed image to disk after receiving it from the slave tasks. The time was measured using the functions in the GNU C Library `sys/time.h`, which takes its timing from the system clock. The appropriate Linux man page associated with `sys/time.h`, gives a timing resolution of 10ms.

Below is an example of the time stamped output of the processor for a four slave configuration (i.e. one master task and four slave tasks) with time stamping is given in seconds. All these files can be found on the compact disk found attached to this document in the directory

`*/thesis_tests/test[x]/test[x].output`

where [x] is the selected tests. The listing below is sorted, gives the relevant information and not the entire output.

Below is the master process output for `test06`:

```
-----
Prog:  g2000 master (Ver.  1.0)
Copyright Radar Remote Sensing Group

Time To Read, Send - 10.150364 0.623390
Time To Read, Send - 10.046573 2.825272
Time To Read, Send - 10.044082 2.811263
Time To Read, Send - 10.044761 2.847841
R C A DONE - in 702.607383 secs.
```

The master process timing output shows the time to read the data from the disk and the time take to send it to each slave process.

Below is the output for a slave process, the [t40003] is a PVM unique task identity or TID. The size of the image processed in this example is a 16000 by 4066 image, which is equally divided amongst the 4 slave processes (thus each slave process will receive a 32 768 000 bit image to range compress). The value for actual size, shows if there is padding added onto the received image, as padding is needed to simplify the distributed corner turning process.

```
[t40003] BEGIN
[t40003] size = 32768000, actual size = 32768000
[t40003] 244.892360
[t40003] Starting DISTRIBUTED CORNER TURN
[t40003] C finished - in 62.032228 secs.
[t40003] FFT size: 16384 on 0
[t40003]A DONE before send - in 99.182076 secs.
[t40003] A DONE after send - in 3.377575 secs.
[t40003] EOF
```

Other values given, in the slave output is the time to perform the three stages of the SAR processor (namely range compression, corner turn and azimuth compression). The time it takes to send the data to the master process is also given.

Table 5.3: Changing number of slave processes with constant image size size

# of slaves processes	Recorded timing results(sec)	Performance comparison
2	4317.4	
3	945.5	4.6
4	702.6	6.1
5	673.2	6.4
6	610.3	7.1
7	531.4	8.1
8	603.9	7.1

Table 5.4: Changing image size, using constant number of slaves processes

# of range lines processed	Time to process image	Performance comparison
16000	603.9	
32000	1207.8	0.93
42000	1585.2	1.05
64000	2415.6	0.42

Table 5.3 shows the recorded results for processing a 16000 by 4096 image and changing the number of slave processes between 2 and 8. Each slave is spawned on its own node in the Gollach cluster. The third column shows the scaled improvement i.e. how many times faster the process is than the two slave version. The biggest improvement in speedup performance compared to the 2 slave version is for the 3 slave version. It is interesting to note that the 7 slave version has a better overall timing result than the 8 slave version.

As the image size is increased, the performance of the processor - with all other parameters held constant should scale linearly. However, due to the physical memory limits of the processors, there is a point where the processor performance will start to degrade. Table 5.4 shows the timing results when the image size is increased, while keeping the number of slaves constant at 8. From the table it can be seen that the performance of the processor has degraded as the image size is increased from 42000 range lines to 64000 range lines. The scaled performance is less than half for an image four times bigger than the smallest size image size recorded.

5.4 Tracking of Memory Usage

The upper limit on the input image size is dependent on the memory size of each processor, which in this case is 150 M Bytes of available memory. Once the image gets to 48000 by 4096 (complex-float) the memory limits of the processors are

reached. After this size limit the processor starts page swapping the data as this degrades performance, or the failure of a memory allocation `malloc()` command if there is no more memory may crash the program.

5.5 Conclusion

This chapter describes the analysis of the G2 parallel processor. A comparison is made with the original serial version of the G2 processor with the best speedup result of 6.2 being achieved, the outputed images are also compared and the expected differences are highlighted.

The parallel processor is also analysed by changing aspects of the parallel processor. This included, firstly increasing the number of slave processes which compute a same size image and secondly, increasing the image size while keeping the same number of slave processes. The size of image that can be processed is limited by the amount of available memory on each node of the processor, and it was noted that 48000 by 4096 image reaches these memory limits.

Chapter 6

Conclusions and Future Work

A parallel version of the G2 processor was successfully developed and tested. The parallel version of the processor produces satisfactory output data compared to the serial version. The implementation has highlighted and solved the distributed matrix transpose necessary between range compression and azimuth compression.

Due to processor memory constraints and communications overhead the processor is limited to only processing 64000 range lines, with respectable speedup results for 42000 range lines. This leads to the conclusion that the parallel processor as it currently stands is not suitable for real time processing, and it is only able to handle small sections of a data set.

There are improvements that could be made to the current version of the parallel processor, one includes the moving of presumming from the slave process to the master process. This would mean that data size sent to slave processors would be independent of presumming, thus allowing them to process up to four times the current limit on data sizes.

A further improvement could be the dynamic allocation of data to slave processors, so that the first slave process receives a larger data set, so that all the slaves finish the range compression at the same time. This does however complicate the distributed corner turn. Once again after the distributed corner turn, each consecutive slave process should process more data than the next so that data transfer to the master process is staggered and does not cause a bottle neck on the master process.

It is noted that further testing of the parallel processor is required, to ascertain the image quality of the processed image. This could be achieved by accessing how the processor performs against simulated point targets.

It would also be interesting to analyse the behaviour of the software on a larger number of faster nodes with more available local memory.

Appendix A

Setup file template

Command file for g2000 processor (SAR)

\$ProgramVersion => 1.0

--General (required)--

\$ScreenUpdateRate	=> 10
\$LogFile ('null' for none)	=> null
\$InputFile	=> tmpg2.raw
\$RngComOutputFile [null for none]	=> tmpg2.rnc
\$CnrTrnOutputFile [null for none]	=> tmpg2.cor
\$AzrComOutputFile [null for none]	=> tmpg2.azm
\$InputDataType [see note]	=> 0
\$OutputDataType [see note]	=> 3
\$StartProcessPRI	=> 0
\$PreSumRatio	=> 1
\$PreSummedPulsesToUse	=> 1001
\$InputFileRngBins	=> 2048
\$StartRngBin	=> 0
\$RngBinsToProcess	=> 2048
\$HeaderBytes	=> 0
\$FooterBytes	=> 0
\$InputDCOffsetI	=> 127.0
\$InputDCOffsetQ	=> 127.0
\$InputIQRatio	=> 1.0
\$\$NumberOfSlaves	=> 8

--Radar (required)--

\$CarrierFreq [Hz - SRC,RW,MoC]	=> 141.0e+06
\$A2DFreq [Hz]	=> 12.0e+06
\$RngShiftInterpSize [RW,MoC - note]	=> 8
\$Scale	=> 1.0e-6

```

$StepFreqUserFile [note]                => null

--Range compression specific (RC)--
$RngComFlg [Y/N - SRC]                   => N
$RngComRefFuncPhaseSign [+1]              => -1
$RngComChirpBandwidth [Hz]                => 0.0
$RngComPulseLen [sec]                     => 83.333e-09
$RngComWinConstTime                       => 0.08

--Motion compensation specific (MoC)--
$MoCompFlg [Y/N]                         => N
$MoCompFileName                           => tmpg2.moc
$MoCompRngShiftFlg [Y/N]                 => N
$MoCompRngShiftSign [+1 - note]           => 1
$MoCompRngShiftIndex [note]               => 0
$MoCompPhaseSign [+1]                     => -1
$MoCompRngUpdates [note]                  => 1
$MoCompStartPRI [note]                    => 0

--LMS interference suppression (LMS)--
$LmsFlg [Y/N]                            => N
$LmsUpdateRate [note]                     => 1
$LmsNumWeights                            => 512
$LmsSidelobeOrder [note]                   => 0

--Notch interference suppression (Notch)--
$NotchFlg [Y/N]                           => N
$NotchUpdateRate                          => 100
$NotchNumFFTLines [note]                  => 100
$NotchCutoff [dB - note]                   => 3
$NotchMedianKernLen [note]                 => 33

--STC specific (STC)--
$STCFlg [Y/N]                             => N
$STCFileName [note]                       => tmpg2.stc

--SRC, Doppler centroid and range walk specific (SRC,DOPC,RW)--
$SRCFlg [Y/N]                             => N
$DopCentroid [Hz - note]                   => 0.0
$RngWalkRngShiftFlg [Y/N]                 => N
$RngWalkPhaseShiftFlg [Y/N]               => N
$RngWalkAzBeamwidth [deg - RW]             => 60.0
$SRCFocusRng [m - SRC]                     => 0.0
$NomGroundSpeed [m/s - SRC,RW]             => 72.0
$SquintAngle [deg - SRC,RW]                => 0.0
$InputPRF [Hz - RW,DOPC]                   => 136.364

--Distributed Corner Turn (required)--
$CnrTrnFlg                                => Y

$PVMfile                                  => autoconf

```

--Azimuth compression specific --

\$AzmComFlg [Y/N]	=> N
\$InputStartSampleDelay [see note]	=> 9.0e-05
\$InvFFTSizeReduc [power of 2]	=> 1
\$AppendExistingAzmComOutputFileFlg[Y/N]	=> N
\$RngFocSegments [see note]	=> 128
\$RefFuncSign [+/-1]	=> -1
\$NomAzRes [m - see note]	=> 20.0
\$AzmWinConstTime [0.0-1.0 - see note]	=> 0.08
\$AzmWinConstFreq [0.0-1.0 - see note]	=> 1.0
\$RngCurvInterpSize [see note]	=> 8
\$RngCurvBatchSize [see note]	=> 256
\$PostSumRatio	=> 1
\$DetectMethod [see note]	=> 2
\$AzmOutputDataType [see note]	=> 0
\$AzmScale [see note]	=> 2.8e-07
\$ReportMax [1/0]	=> 1

Range Comp Notes:

InputDataType : 0 - unsigned char IQ (2*1 bytes per point)
: 3 - float IQ (2*4 bytes per point)

OutputDataType : 0 - unsigned char IQ
(2*1 bytes per point - DC offset 127)
: 3 - float IQ (2*4 bytes per point)

StepFreqUserFile : 'null' for no stepped freq mode, else ASCII file with number
of freq steps on first line followed by centre frequency of
each step, each on a new line, in the transmit order.

RngShiftInterpSize : 0 - none
: 1 - nearest neighbour
: else even

MoCompRngShiftSign : Controls direction of range shift for motion
comp. and is independent of phase shift.

MoCompRngShiftIndex : The motion comp. range update to use for the range
shift. While multiple phase shifts are possible per
line, only a single range shift is possible. Must be
less than MoCompRngUpdates.

MoCompRngUpdates : Ensure precalculated motion comp rng shifts fit in with
selected portion to process. If rng bins is not a multiple
of num of mocomp rng updates, a few rng bins at far swath
may be zeroed due to truncation (not serious).

MoCompStartPRI : Must be updated with StartProcessPRI.

LmsUpdateRate : 1 - implement standard LMS adaptive filter
(i.e. without using transfer functions)

LmsSidelobeOrder : 0 - no sidelobe suppression
: 1,2,3 - increasing level of sidelobe suppression

NotchNumFFTLines : Number of range spectra to average,
usually equal to NotchUpdateRate.

NotchCutoff : Number of dB above average signal strength, where notches are
inserted. [Number of dB = $10 * \log((\text{abs}(\text{range spectrum}))^2)$]

NotchMedianKernLen : Length of median filter, used to find average signal
strength. If interference peaks are lumped very close
together, try increasing this value.

STCFileName : ASCII file containing STC curve as space- or line-delimited
floats. Best to remove STC for rng compress or interference.

DopCentroid : Removed in time domain.

Azimuth Comp Notes:

InputStartSampleDelay : [in secs]
This value corresponds to the start of the input file and is
independent of which range bin is selected here for processing.
Note the value may not be the same as in the range compression
program. There are two reasons for this:

- 1) Early range compression version introduce a delay of half pulse
length (subtract here - only for rngcom version < 1999-01-27).
- 2) A subsection of range bins may have been selected in the
compression/corner turn.

RngBinsToProcess : If required for the range curvature correction,
the program will automatically make use of more
range bins than specified here, if available.

RngFocSegments : -1 - max number of az. ref. function updates
: else less than or equal to RngBinsToProcess

NomAzRes : The nominal azimuth resolution in metres assuming a
window broadening factor of unity.

WinConstTime : The constant for the window to be applied over the
length of the time-domain reference function.
: 1.0 - rectangular window
: 0.08 - Hamming window

WinConstFreq : The constant for the window to be applied over the
length of each look in the azimuth frequency domain.
: 1.0 - rectangular window
: 0.08 - Hamming window

RngCurvInterpSize : 0 - none
: 1 - nearest neighbour
: even (8 pt or more recommended)

RngCurvBatchSize : The number of range bins to undergo range
curvature correction at once. A smaller number
will reduce memory requirements, but run slower.

DetectMethod : 0 - none (complex output)
: 1 - magnitude
: 2 - power
: 3 - power in dB

OutputDataType : 0 - unsigned char
: 1 - unsigned short Int4B (2 bytes)
: 2 - long Int4B (4 bytes)
: 3 - float (4 bytes)
: 4 - double (8 bytes)

InputDataType : 0 - unsigned char IQ (2*1 bytes per point)
: 3 - float IQ (2*4 bytes per point)

Scale : 0 - auto (not implemented yet)
: 1 - none
: other (double)

Notes:

InputStartSampleDelay : [in secs]

This value corresponds to the start of the input file and is
independent of which range bin is selected here for processing.

Note the value may not be the same as in the range compression
program. There are two reasons for this:

- 1) Early range compression version introduce a delay of half pulse
length (subtract here - only for rngcom version < 1999-01-27).
- 2) A subsection of range bins may have been selected in the
compression/corner turn.

RngBinsToProcess : If required for the range curvature correction,
the program will automatically make use of more
range bins than specified here, if available.

RngFocSegments : -1 - max number of az. ref. function updates
 : else less than or equal to RngBinsToProcess

NomAzRes : The nominal azimuth resolution in metres assuming a
 window broadening factor of unity.

WinConstTime : The constant for the window to be applied over the
 length of the time-domain reference function.
 : 1.0 - rectangular window
 : 0.08 - Hamming window

WinConstFreq : The constant for the window to be applied over the
 length of each look in the azimuth frequency domain.
 : 1.0 - rectangular window
 : 0.08 - Hamming window

RngCurvInterpSize : 0 - none
 : 1 - nearest neighbour
 : even (8 pt or more recommended)

RngCurvBatchSize : The number of range bins to undergo range
 curvature correction at once. A smaller number
 will reduce memory requirements, but run slower.

DetectMethod : 0 - none (complex output)
 : 1 - magnitude
 : 2 - power
 : 3 - power in dB

OutputDataType : 0 - unsigned char
 : 1 - unsigned short Int4B (2 bytes)
 : 2 - long Int4B (4 bytes)
 : 3 - float (4 bytes)
 : 4 - double (8 bytes)

InputDataType : 0 - unsigned char IQ (2*1 bytes per point)
 : 3 - float IQ (2*4 bytes per point)

AzmScale : 0 - auto (not implemented yet)
 : 1 - none
 : other (double)

Bibliography

- [1] D. Becker, T. Sterling, D. Savarese, J. Dorband, U. Ranawake, and C.V.Packer. Beowulf: A parallel workstation for scientific computation. *ICPP Paper*, 1995.
- [2] G. Bell. A brief history of supercomputing:clusters and beowulfs, centers. what next? <http://research.microsoft.com/users/gbell/Supers/Supercomputing.htm>.
- [3] P. Carns, W. L. III, S. McMillan, and R. Ross. An evaluation of message passing implementations on beowulf workstations. <http://www.clemson.edu>.
- [4] J. C. Curlander and R. N. McDonough. *Synthetic Aperture Radar Systems and Signal Processing*. John Wiley and Sons, 1991.
- [5] Demmel. Cs267: Lecture 9 part1 - a closer look at parallel architectures. <http://www.cs.berkeley.edu/~demmel/cs267>, 1996.
- [6] H. Dietz. Linux parallel processing using clusters. <http://www.yara.ecn.purdue.edu>. 1997.
- [7] J. Dongara, S. W. Otto, M. Snir, and D. Walker. An introduction to the mpi standard. *Communications of the ACM*, 1995.
- [8] A. Downton and D. Crookes. Parallel architectures for image processing. *Electronics and Communication Engineering Journal*, Vol 10 Number 3, 1998.
- [9] A. Geist, A. Beguelin, J. Dongara, W. Jiang, R. Manchek. and V. Sunderam. Pvm: Parallel virtual machine - a users' guide and tutorial for networked parallel computing. *Scientific and Engineering Series*, MIT Press.
- [10] A. Gustavsson, B. Flood, P.-O. Frörlind, H. Hellsten, T. Jonsson, B. Larsson, G. Stenström, and L. M. H. Ulander. Design and Verification of a New Airborne 20-90 MHz SAR Sensor. In *Proc. European Conference on Synthetic Aperture Radar, EUSAR'98*, pages 21-25, Friedrichshafen, Germany, May 1998. VDE-Verlag GMBH, Berlin and Offenbach.
- [11] Gwena T. Gollach: Configuration of a Cluster Based on Linux Virtual Server. Master's thesis, University of Cape Town, 2003.

- [12] J. M. Horrel. *Range-Doppler Synthetic Aperture Radar Processing at VHF Frequencies*. PhD dissertation, University of Cape Town, Department of Electrical Engineering, 1999.
- [13] H. A. Hovland, J. A. Johannessen, and G. Digranes. Slick Detection in SAR Images. In *Proc. IEEE Geosci. Remote Sensing Symp., IGARSS'94*, pages 2038 -2040, Pasadena, USA, 1994.
- [14] M. R. Inggs. First results from the south african vhf radar. <http://rrsg.ee.uct.ac.za>, 1999.
- [15] R. Jain. Survey on distributed computing networks - networks of workstations. <http://www.cis.ohio.state.edu>.
- [16] Klauder J R, Price A C, Albersheim W J, Darlington S. The Theory and Design of Chirp Radars. *The Bell System Technical Journal*, 39:745 -808, Jul 1960.
- [17] R. T. Lord. *Aspects of Stepped-Frequency Processing for Low-Frequency SAR Systems*. PhD dissertation, University of Cape Town, Department of Electrical Engineering, 2000.
- [18] G. J. Lynne and G. R. Taylor. Geological Assessment of SIR-B Imagery of the Amadeus Basin. N.T., Australia. *IEEE Transactions on Geoscience and Remote Sensing*, 24(4):575 -581, July 1986.
- [19] D. Munson and R. Visentin. A signal processing view of a strip-mapping synthetic aperture radar. *IEEE Transactions on Acoustics, Speech and Signal Processing*, 37 number 12, 1989.
- [20] Oppenheim AV, Schafer RW. *Discrete-Time Signal Processing*. Jan 1989.
- [21] G. Pollock. Parallel implementation of the chirp scaling algorithm. *UCT Radar Remote Sensing Group, Undergraduate Thesis*, 1999.
- [22] M. Portnoff. An efficient parallel-processing method for transposing large matrices inplace. *IEEE Transactions on Image Processing Vol 8 Number 9*, 1999.
- [23] B. Sandep. Parallel hardware. <http://www.cise.ufl.edu/research/ParallelPatterns-/PatternLanguage/Background/ParallelHardware.htm>.
- [24] S. Shende. Profiling and tracing in linux. *Exteme Linux Conference*, 1999.
- [25] M. Skolnik. *Introduction to Radar Systems, 2nd Addtion*. McGraw-Hill, 1988.
- [26] V. Sunduram. Pvm: A framework for parallel distributed computing. *Department of Math and Computer Science, Emory University, Atlanta*.

- [27] B. Wilkinson and M. Allen. *Parallel Programming Techinques and Applications Using Networked Workstations and Parallel Computers*. Prentice Hall, 1999.
- [28] S. Wuyts. Sar processing using pvm. Master's thesis, University of Cape Town, Faculty of Engineering, 1997.

University of Cape Town